



Client Services contact details
Within Australia: 1300 926 626
International: +61 2 9696 9800

Appoint, Change or Cancel a Financial Adviser

Use this form if you are an existing investor and wish to appoint, change or cancel your financial adviser. You can also use this form to change their details (such as their address) or to start or change the way your financial adviser is paid from your investment.

Complete all sections in block capitals and using a black pen. If you make an error while completing this form, do not use correction fluid. Cross out your mistakes and initial your changes.

1. Instructions if you are appointing, change or cancel a financial adviser.

If you wish to appoint, change or cancel a financial adviser, the following needs to be completed:

- write your account number and account name as it appears on your latest statement
- complete section 2 'Appoint, change or cancel a financial adviser'
- sign the form as per the 'Signing instructions' in section 5.

2. Instructions if you are changing the way your financial adviser is paid from your investment.

If you want to change the way your financial adviser is paid from your investment, the following needs to be completed:

- write your account number and account name as it appears on the latest periodic statement or transaction statement
- complete section 3 'Financial adviser fees'
- sign the form as per the 'Signing instructions' in section 6.

3. Send your documents to us.

You can return your form by post or email according to the details below:

Send by post: Crescent Wealth Funds Management (Aust) Limited
GPO Box 804
Melbourne VIC 3001

Scan and email to: crescentwealth_transactions@unitregistry.com.au
Please include your account number in the subject line of your email

Help is at hand. If you require any assistance to complete this form, please contact the Helpline Team on 1300 926 626

1 Investor details

Investor number

Investor name

2 Appoint, change or cancel a financial adviser

I/We wish to:

- appoint/change a financial adviser
 cancel a financial adviser

Please provide details of your financial adviser

Dealer group name

Adviser name

AFSL number

Authorised representative number

3 Financial adviser fees

Complete this section if you wish that financial planning fees be paid monthly from your investment. If you wish to change level, write the new percentage. If you wish to stop the payments, write 'nil'.

% pa (excluding GST) of your account balance that you wish to be paid (maximum 6%)

This percentage of your account balance does not include GST. GST will be added to this percentage and the total fee amount will be deducted from your investment when each monthly payment is made.

One off payments are not possible. Fixed dollar amounts are not possible.

If you close your investment in the fund before the end of the month, no payment will be paid for that month and your financial adviser may look for this to be paid direct by you. We will keep paying any ongoing fee until you tell the Administrator it has changed or should stop. We pay money to the dealer group that authorises your financial adviser from time to time – if your adviser changes their dealer group arrangements, they will need to contact us so we can redirect their payments.

4 Operating your account

Do you want your financial adviser to be able to operate your account?

- Yes
 No

In general, an appointed financial adviser can do everything you can do with your investment, except appoint another person to operate your account. It is important to tell us promptly if you no longer wish your financial adviser to operate your account, or if your

financial adviser changes – we will and OneVue will keep accepting their instructions until you or they advise us in writing that the appointment has terminated.

We or OneVue may suspend or terminate their appointment for any reason considered reasonable, and may change the terms on which they operate your account.

You indemnify us from any loss you, we or OneVue suffer as a result of the actions of your appointed financial adviser, and agree to ratify their actions if we ask.

5 Appointment of a financial adviser

This section should be completed by your financial adviser. Your financial adviser will have online access to your account. If you would like your financial adviser to receive copies of your statements by email, please enter their email address below.

Email address

Notice to financial adviser by completing this section of the application form, you are confirming that you hold a current Australian Financial Services Licence (AFSL), or are otherwise authorised to advise on this product.

Street name

If you would like to register for adviser online to view your client's investment information, please complete the below.

Financial adviser contact details

Business address. A PO Box/RMB/Locked Bag is not acceptable.

Property/Building name (if applicable)

Unit

Street number

Suburb

State

Post code Country

Postal address (if different to above)

A PO Box/RMB/Locked Bag is acceptable.

Property/Building name (if applicable)

Unit/Level Street number

Street name (or PO Box or other mail details if applicable)

Suburb State

Post code Country

Contact details

Business number (include country and area code)

Mobile number (include country code)

Adviser signature

Please print full name

Date (DD/MM/YYYY) / /

5 Signing instructions

By completing and signing this form, you:

- authorise us to act according with the instructions on this form
- acknowledge that the instructions on this form supersede all previous instructions received by us, and
- agree to indemnify us from and against all losses, costs, expenses, claims, actions or proceedings brought against us in connection with following your instructions on this form.

Individual – where the investment is in one name, the account holder must sign.

Joint Holding – where the investment is in more than one name, at least two account holders must sign **unless a single investor has been given authority to operate your account.**

Companies – where the company has a sole director who is also the sole company secretary, this form must be signed by that person. If the company (pursuant to section 204A of the Corporations Act 2001) does not have a company secretary, a sole director can also sign alone. Otherwise this form must be signed by a director jointly with either another director or a company secretary. Please indicate the capacity in which the form is signed.

Trust – the trustee(s) must sign this form. Trustee(s) signing on behalf of the trust confirm that the trustee(s) is/are acting in accordance with such designated powers and authority under the trust deed.

Power of Attorney – if you have not already lodged the Power of Attorney with us, please attach a certified copy of the Power of Attorney document that includes Certificate of Witness and Statement of Acceptance and Certified Identification Document of the Power of Attorney. I/We attest that the Power of Attorney has not been rescinded or revoked and that the Donor is still living.

Signature of investor 1, director or authorised signatory

Please print full name

Date (DD/MM/YYYY) / /

Company officer (please indicate company capacity)

- Director
- Sole director and company secretary
- Authorised signatory

Signature of investor 2, director/company secretary or authorised signatory

Please print full name

Date (DD/MM/YYYY) / /

Company officer (please indicate company capacity)

- Director
- Company secretary
- Authorised signatory